

PATENT  
ATTORNEY DOCKET NO. 01997/201006

Certificate of Mailing: Date of Deposit: July 23, 2002

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Elaine Fabrizio

Printed name of person mailing correspondence

Elaine Fabrizio

Signature of person mailing correspondence

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: H. Robert Horvitz et al.

Art Unit: 1633

Serial No.: 09/993,420

Examiner:

Filed: November 6, 2001

Customer No.: 21559

Title: IDENTIFICATION AND CHARACTERIZATION OF A GENE  
WHICH PROTECTS CELLS FROM PROGRAMMED CELL DEATH  
AND USES THEREFOR

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

## REPLY TO NOTICE TO FILE MISSING PARTS

In reply to the Notice to File Missing Parts of Application mailed January 24, 2002 (a copy of which is enclosed), Applicants as a large entity submit herewith the following:

- ☒ A Combined Declaration and Power of Attorney in compliance with 37 C.F.R. § 1.63.
- ☒ Payment of the surcharge of \$130.00 for late filing of the basic filing fee/declaration.

SCANNED #

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- ☒ A substitute specification in compliance with 37 C.F.R. § 1.52.
- ☒ A paper copy of the amended Sequence Listing and a copy of the amended Sequence Listing in computer readable form (CRF).
- ☒ A petition to extend the period for replying for four months, to and including July 24, 2002.

If there are any other charges or any credits, please apply them to Deposit

Account No. 03-2095.

Respectfully submitted,

Date:

July 23, 2002

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Reg. No. 39,109

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21559  
PATENT TRADEMARK OFFICE



## UNITED STATES PATENT AND TRADEMARK OFFICE

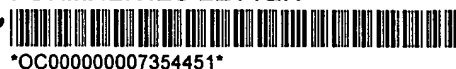
COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/993,420	11/06/2001	H. Robert Horvitz	01997/201006

21559  
CLARK & ELBING LLP  
176 FEDERAL STREET  
BOSTON, MA 02110-2214

CONFIRMATION NO. 1286

## FORMALITIES LETTER



\*OC000000007354451\*

Sequence listing  
substantive sec. 1.71(f)  
ACTION DUE 3-24-02  
DUE DATE 7-24-02  
ESP \_\_\_\_\_  
INITIALS J.M.

Date Mailed: 01/24/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216

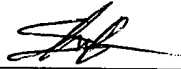
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*A copy of this notice **MUST** be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

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